



File No. 3330.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
David A. Balaban )  
)  
Serial No. 09/697,877 )  
)  
Filed: October 26, 2000 )  
)  
Title: Methods for Monitoring the )  
Expression of Alternatively )  
Spliced Genes )  
\_\_\_\_\_ )

Group Art Unit: 1645

Examiner: C. Wilder

Information Disclosure Statement  
Under 37 CFR §§1.56 and 1.97

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MAY 27 2003

TECH CENTER 1800/2900

Commissioner of Patents  
Washington D.C. 20231

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Form are called to the attention of the Examiner for the above patent application. The documents were first cited in a corresponding PCT patent application PCT/US01/13276, on April, 2003.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies that the items of information contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

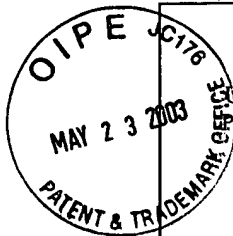
Applicant believes that no fee is required for submission of this statement.  
However, if a fee is required, the Commissioner is authorized to deduct such fee from  
Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any  
overpayment to the above-noted Deposit Account.

Respectfully submitted,

Date: 05-20-2003

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PTO-1449 (Modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICESUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
BY APPLICANTATTY. DOCKET NO.:  
3330.2SERIAL NUMBER:  
09/697,877APPLICANT:  
David A. BalabanFILING DATE:  
October 26, 2000GROUP ART UNIT:  
1645

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,043,080	3/28/00	Lipshutz et al.	435	287.2	12/11/98

**RECEIVED**  
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLA TION YES/NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.

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MAY 23 2003  
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1645

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

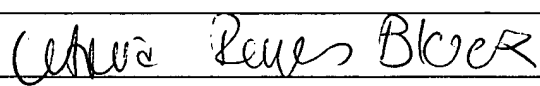
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

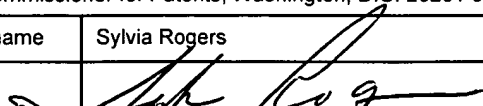
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/697,877	
	<b>Filing Date</b>	October 26, 2000	
	<b>First Named Inventor</b>	David A. Balaban	
	<b>Group Art Unit</b>	1645	
	<b>Examiner Name</b>	C. Wilder	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	3330.2/US

<b>ENCLOSURES (check all that apply)</b>		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><b>Return postcard Form 1449</b> <b>One (1) Reference</b></div>
<b>Remarks</b>		<div style="text-align: center;"><b>RECEIVED</b> <b>MAY 27 2003</b> <b>TECH CENTER 1600/2900</b></div>

The Commissioner is authorized to charge any fees or credit any overpayments associated with this application to Deposit Account No. 01-0431.

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
<b>Firm or Individual name</b>	Leticia R. Block, Reg. No.: 50,167
<b>Signature</b>	
<b>Date</b>	05-20-2003

<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <span style="border: 1px solid black; padding: 2px;">5/20/03</span>			
<b>Typed or printed name</b>	Sylvia Rogers		
<b>Signature</b>		<b>Date</b>	5/20/03

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